

BlackRock is required to comply with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 ('the AML legislation'), which requires us to (amongst other requirements) verify the identity of investors making applications into Funds offered by us.

In complying with our obligations under the AML legislation, BlackRock may from time to time request identification/verification documentation from an investor or financial adviser\* to verify the investor's identity.

Where such identification/verification documentation is required, an originally certified copy is required.

A list of the various people that can certify a document is provided below.

The following outlines the various documents that you may be required to lodge (depending on your investor type) to verify your identity.

Where documentation provided is not in English, an English translation must be provided by an accredited translator.

\* Financial Advisers include an Australian Financial Services (AFS) Licensee or a representative of an AFS Licensee.

## What parts of this form apply to me?

The matrix below highlights the sections of this form that are applicable to each investor type.

Please ensure that you read the section applicable to you, select the documentation you have elected to provide from the options listed and attach an originally certified copy of the document before sending it to BlackRock.

Investor Type	Applicant's Details	Section A	Section B	Section C	Section D	Section E	Section F	Section G	Section H
Individual(s)	✓	✓							
Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund	✓	✓			✓				
Domestic (Australian) Company	✓		✓						
Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund	✓		✓		✓				
Foreign Company	✓			✓					
Foreign Company acting as a Corporate Trustee(s) of a Trust or Superannuation Fund	✓			✓	✓				
Partnership	✓					✓			
Association	✓						✓		
Registered Co-operative	✓							✓	
Government Body	✓								✓
Sole Trader	✓	✓							

## What is meant by originally certified copy?

An originally certified copy is a copy of a document that has been certified as a true copy of an original document.

The following (or similar wording) needs to be written on the certified copy by the person certifying the document and each page needs to be signed (by the person providing the certification).

'I < insert the name of the person certifying the document > certify that this document comprising < insert number of pages > pages is a true copy of the original'

## Who can originally certify my identification documents?

The following persons are able to certify your identification documents:

- Justice of the Peace
- Pharmacist
- Police Officer
- Court Registrar
- Bank Manager
- Bank, Building society or Credit union officer with 5 or more years of continuous service
- Medical Practitioner
- Dentist
- Legal Practitioner
- Teacher employed on a full-time basis at a school or tertiary education institution
- Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- Permanent employee of the Australian Postal Corporation with 5 or more years of continuous service who is employed in an office supplying postal services to the public
- Clerk of a court
- Fellow of the National Tax Accountants' Association
- Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants
- Finance company officer with 5 or more years of continuous service
- Member of:
  - the Parliament of the Commonwealth; or
  - the Parliament of a State; or
  - a Territory legislature; or
  - a local government authority of a State or Territory
- Notary public
- Permanent employee of one of the following with 5 or more years of continuous service:
  - the Commonwealth or a Commonwealth authority; or
  - a State or Territory or a State or Territory authority; or
  - a local government authority
- Person before whom a statutory declaration may be made under the law of the State or Territory in which the declaration is made

# Applicant's Details

## All investors

The information below is required to enable us to match this form to your application form

Investor number (leave blank if this is your first application)

### 1st Investor

Mr/Mrs/Miss/Ms/Other Given name(s)

Surname

Contact details (please provide at least one contact number where you can be reached):

Telephone (home)

Telephone (business)

Telephone (mobile)

### 2nd Investor

Mr/Mrs/Miss/Ms/Other Given name(s)

Surname

Contact details (please provide at least one contact number where you can be reached):

Telephone (home)

Telephone (business)

Telephone (mobile)

### 3rd Investor

Mr/Mrs/Miss/Ms/Other Given name(s)

Surname

Contact details (please provide at least one contact number where you can be reached):

Telephone (home)

Telephone (business)

Telephone (mobile)

Company/Trustee/Partnership name

Superannuation Fund/Trust name (if applicable)

Contact name

Contact details (please provide at least one contact number where the contact person can be reached):

Telephone (home)

Telephone (business)

Telephone (mobile)

## Section A. Individual(s), Individual(s) as a Trustee(s) of a Trust or Superannuation Fund and Sole Traders

Originally certified copies are required.

### What we need to verify

- your full name; and  
**either**
- your date of birth or residential address

### Acceptable primary identification documents

Please select ✓ and provide ONE of the following (if applicable):

- Australian State/Territory driver's licence containing a photograph of the person
- Australian passport (a passport that has expired within the preceding 2 years is acceptable) Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
- Foreign passport or similar travel document containing a photograph and the signature of the person

*[Where documentation provided is not in English, an English translation must be provided by an accredited translator.]*

**Individual(s) and Sole Traders – If you are able to provide an originally certified copy of one of the above primary identification documents, no further information is required. Please proceed to submit this form (including the 'Applicant's Details' page) together with the relevant primary identification document.**

**Individual(s) acting as a Trustee(s) – In addition to providing an originally certified copy of one of the above primary identification documents, you are also required to complete Section D – Trusts or Superannuation Funds.**

**If you are unable to provide one of the primary identification documents listed above you are required to provide a document from Group A and Group B from the 'Acceptable secondary identification documents' below.**

### Acceptable secondary identification documents

[Should only be completed if the individual is unable to provide one of the acceptable primary identification documents listed above]

Please select ✓ and provide ONE document from Group A and ONE document from Group B:

#### Group A

- Australian birth certificate
- Australian citizenship certificate
- Pension card issued by Centrelink
- Health card issued by Centrelink

#### AND

#### Group B

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address
- A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)
- If under the age of 18, a notice that:
  - was issued to the individual by a school principal within the preceding 3 months; and
  - contains the individual's name and residential address; and
  - records the period of time that the individual attended that school

#### OR

### Acceptable foreign identification documents

[Should only be completed if the individual is unable to provide one of the acceptable primary identification documents listed above]

**Both** documents listed below **must be** provided to enable verification.

- Foreign driver's licence that contains a photograph of the person and his or her date of birth
- National Identification card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued

*[Where documentation provided is not in English, an English translation must be provided by an accredited translator.]*

**Individuals and Sole Traders – If you are able to provide originally certified copies of the above secondary identification documents (one from Group A and one from Group B), no further information is required. Please proceed to submit this form (including the 'Applicant's Details' page) together with the relevant secondary identification documents.**

**Individual(s) acting as a Trustee(s) – In addition to providing originally certified copies of the above secondary identification documents, you are also required to complete Section D – Trusts or Superannuation Funds.**

## Section B. Domestic (Australian) Company

Originally certified copies are required.

### Standard verification documentation

#### What we need to verify

- the full name of the company as registered by ASIC
- whether the company is registered as a proprietary or public company
- the ACN issued to the company

Please select  and provide the following document:

- An originally certified copy of the certification of registration issued by ASIC

### Alternative verification documentation

#### What we need to verify (where applicable)

- that the company is an Australian listed company
- that the company is a majority owned subsidiary of an Australian listed company
- that the company is a regulated company (that is, licensed by a Commonwealth, State or Territory statutory regulator)

Please select  and provide the following document:

- An originally certified copy of a public document issued by the relevant company

**Domestic (Australian) Company investors – If you are able to provide an originally certified copy of either of the above identification documents, no further information is required. Please proceed to submit this form (including the ‘Applicant’s Details’ page) together with the relevant identification document.**

**Domestic (Australian) Company investors acting as a Corporate Trustee(s) of a Trust or Superannuation Fund – you are also required to complete Section D – Trusts or Superannuation Funds.**

## Section C. Foreign Company

Originally certified copies are required.

*[Where documentation provided is not in English, an English translation must be provided by an accredited translator.]*

### Standard verification documentation

#### What we need to verify – for a foreign company that is registered with ASIC

- the full name of the company as registered by ASIC
- the ARBN issued to the company
- whether the company is registered by a foreign registration body and, if so:
  - whether it is registered as private company or a public company

Please select  and provide the following document:

- An originally certified copy of the certificate of registration issued by ASIC or by the relevant foreign registration body

Is the company registered as a private/proprietary or public company?

#### What we need to verify – for a foreign company that is not registered with ASIC

- the full name of the company
- whether the company is registered by a foreign registration body and, if so:
  - whether it is registered as private company or a public company
  - the identification number issued to the company

Please select  ONE of the following documents:

- An originally certified copy of the certificate of registration issued by the relevant foreign registration body

Is the company registered as a private or public company?

- A disclosure certificate from the company given by an individual acting as agent of the company (where the agent has been verified)

### Alternative verification documentation

#### What we need to verify (where applicable)

- that the company is a listed company
- that the company is a majority owned subsidiary of an Australian listed company
- that the company is a regulated company (that is, licensed by a Commonwealth, State or Territory statutory regulator)

Please select  and provide the following document:

- An originally certified copy of a public document issued by the relevant company

Is the company registered as a private/proprietary or public company?

**Foreign company investors – If you are able to provide an originally certified copy of either of the above identification documents, no further information is required. Please proceed to submit this form (including the 'Applicant's Details' page) together with the relevant identification document.**

**Foreign Company investors acting as a Corporate Trustee(s) of a Trust or Superannuation Fund – you are also required to complete Section D – Trusts or Superannuation Funds.**

## Section D. Trusts or Superannuation Funds

Originally certified copies are required.

### If you are investing as one of the following trust types

- regulated trust (e.g. self-managed superannuation fund (SMSF));
- a registered managed investment scheme; or
- other trust type (e.g. family discretionary or unit trust, testamentary trust or charitable estate)

### We need to verify

- the full name of the trust
- that the trust is a registered managed investment scheme, regulated trust (e.g., SMSF) or government superannuation fund

Please select  and provide ONE of the following to verify the Trust:

- A notice issued by the Australian Taxation Office within the last 12 months (e.g., a Notice of Assessment)
- A letter from a solicitor or qualified accountant that confirms the full name of the trust
- An originally certified copy or an originally certified extract of the trust deed

**Individual Trustee(s) – Please ensure you have also completed Section A - Individual(s), Individual(s) as a Trustee(s) of a Trust or Superannuation Fund and Sole Traders and submit this together with Section D and the ‘Applicant’s Details’ page.**

**Domestic (Australian) Company investor acting as a Trustee – Please ensure you have also completed Section B – Domestic (Australian) Company and submit this together with Section D and the ‘Applicant’s Details’ page.**

**Foreign Company investor acting as a Trustee - Please ensure you have also completed Section C – Foreign Company and submit this together with Section D and the ‘Applicant’s Details’ page.**

### Government superannuation fund only

Please select  and provide the following document:

- An originally certified copy or originally certified extract of the legislation establishing the government fund sourced from a government website

**Government Superannuation Fund investors – If you are able to provide the above identification document, no further information is required. Please proceed to submit this form (including the ‘Applicant’s Details’ page) together with the relevant identification document.**

## Section E. Partnership

Originally certified copies are required.

### What we need to verify

- full name of the partnership

Please select ✓ and provide ONE of the following documents:

- An originally certified copy or an originally certified extract of the partnership agreement
- An originally certified copy or an originally certified extract of minutes of a partnership meeting
- An originally certified copy of a current membership certificate (or equivalent) of a professional association
- A notice issued by the Australian Taxation Office within the last 12 months (e.g., a Notice of Assessment)
- An originally certified copy of a certificate of registration of business name as issued by a government agency in Australia

### Membership of a professional association

Should only be completed if the partnership is regulated by a professional association

Please select ✓ and provide the following document:

- An originally certified copy of a current membership certificate (or equivalent)

### Individual partner identification documents (required for one partner only)

#### What we need to verify

- the partner's full name; and  
either
- the partner's date of birth or residential address

#### Acceptable primary identification documents

Please select ✓ and provide ONE of the following:

- Australian State/Territory driver's licence containing a photograph of the person
- Australian passport (a passport that has expired within the preceding 2 years is acceptable)
- Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
- Foreign passport or similar travel document containing a photograph and the signature of the person  
*[Where documentation provided is not in English, an English translation must be provided by an accredited translator.]*

**Individual partner – If you are able to provide an originally certified copy of one of the above primary identification documents, no further information is required (in relation to the individual partner). Please proceed to submit this form (including the 'Applicant's Details' page) together with the relevant primary identification document (and other partnership identification documents referred to above).**

**If you are unable to provide one of the primary identification documents listed above you are required to provide a document from Group A and Group B from the 'Acceptable secondary identification documents' below.**

#### Acceptable secondary identification documents

[Should only be completed if the individual partner is unable to provide one of the acceptable primary identification documents listed above]

Please select ✓ and provide ONE document from Group A and ONE document from Group B:

##### Group A

- Australian birth certificate
- Australian citizenship certificate
- Pension card issued by Centrelink
- Health card issued by Centrelink

**AND**

**Group B (continued on next page)**

**Group B**

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address
- A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)
- If under the age of 18, a notice that:
  - was issued to the individual by a school principal within the preceding 3 months; and
  - contains the individual's name and residential address; and
  - records the period of time that the individual attended that school

OR

**Acceptable foreign identification documents**

[Should only be completed if the individual partner is unable to provide one of the acceptable primary identification documents listed above]

**Both** documents listed below **must be** provided to enable verification.

- Foreign driver's licence that contains a photograph of the person and his or her date of birth
- National identification card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued

*[Where documentation provided is not in English, an English translation must be provided by an accredited translator.]*

**Individual partner – If you are able to provide originally certified copies of the above secondary identification documents (one from Group A and one from Group B), no further information is required. Please proceed to submit this form (including the 'Applicant's Details' page) together with the relevant secondary identification documents (and other partnership identification documents referred to above).**

## Section F. Association

Originally certified copies are required.

### What we need to verify for an Incorporated Association

- full name of the Association
- identification number issued on incorporation (if any)

Please select ✓ and provide ONE or both of the following:

- Information provided by ASIC or the government responsible for the incorporation of the association
- An originally certified copy or an originally certified extract of the Constitution or Rules of the association

**Incorporated Associations – If you are able to provide an originally certified copy of either or both of the above identification documents, no further information is required. Please proceed to submit this form (including the ‘Applicant’s Details’ page) together with the relevant identification document(s).**

### What we need to verify for an Unincorporated Association

- full name of the Association
- the individual member’s full name; and  
**either**
- the individual member’s date of birth or residential address

Please select ✓ and provide the following document:

- An originally certified copy or originally certified extract of the Constitution or Rules of the association

### Acceptable primary identification documents

Please select ✓ and provide ONE of the following:

- Australian State/Territory driver’s licence containing a photograph of the person
- Australian passport (a passport that has expired within the preceding 2 years is acceptable)
- Card issued under a State or Territory for the purpose of proving a person’s age containing a photograph of the person
- Foreign passport or similar travel document containing a photograph and the signature of the person

*[Where documentation provided is not in English, an English translation must be provided by an accredited translator.]*

**Individual Member – If you are able to provide an originally certified copy of one of the above primary identification documents, no further information is required (in relation to the individual member). Please proceed to submit this form (including the Applicant’s Details’ page) together with the relevant primary identification document (and the Unincorporated Association identification document referred to above).**

**If you are unable to provide one of the primary identification documents listed above you are required to provide a document from Group A and Group B from the ‘Acceptable secondary identification documents’ below.**

### Acceptable secondary identification documents

[Should only be completed if the individual member is unable to provide one of the acceptable primary identification documents listed above]

Please select ✓ and provide ONE document from Group A and ONE document from Group B:

#### Group A

- Australian birth certificate
- Australian citizenship certificate
- Pension card issued by Centrelink
- Health card issued by Centrelink

**AND**

**Group B (continued on next page)**

**Group B**

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address
- A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)
- If under the age of 18, a notice that:
  - was issued to the individual by a school principal within the preceding 3 months; and
  - contains the individual's name and residential address; and
  - records the period of time that the individual attended that school

OR

**Acceptable foreign identification documents**

[Should only be completed if the individual member is unable to provide one of the acceptable primary identification documents listed above]

**Both** documents listed below **must be** provided to enable verification.

- Foreign driver's licence that contains a photograph of the person and his or her date of birth
- National identification card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued

*[Where documentation provided is not in English, an English translation must be provided by an accredited translator.]*

**Individual member – If you are able to provide originally certified copies of the above secondary identification documents (one from Group A and one from Group B), no further information is required. Please proceed to submit this form (including the 'Applicant's Details' page) together with the relevant secondary identification documents (and the Unincorporated Association identification document referred to above).**

## Section G. Registered Co-operative

Originally certified copies are required.

### We need to verify

- full name of the registered co-operative
- identification number issued by the relevant registration body (if any)

Please select  and provide ONE or both of the following:

- Information provided by ASIC or the relevant registration body responsible for the registration of the co-operative
- An originally certified copy or originally certified extract of the register maintained by the co-operative

**Registered Co-operative investors – If you are able to provide an originally certified copy of either or both of the above identification documents, no further information is required. Please proceed to submit this form (including the ‘Applicant’s Details’ page) together with the relevant identification document.**

## Section H. Government Body

Originally certified copies are required.

### We need to verify

- full name of the government body
- full address of the government body’s principal place of operations
- whether the government body is:
  - a body of the Commonwealth of Australia
  - a body of a State or Territory of Australia (if so, which State / Territory)
  - a body of a foreign country (if so, which country)

Please select  and provide the following document:

- An originally certified copy or or originally certified extract of the legislation establishing the government body provided by or obtained from a reliable and independent source such as a government website.

The full name of the applicable legislation:

**Government Body investors – If you are able to provide an originally certified copy of the above identification document, no further information is required. Please proceed to submit this form (including the ‘Applicant’s Details’ page) together with the relevant identification document.**